NMLS Release 2016.4.1 – Release Notes

Release Date: November 21, 2016

The purpose of these release notes are to provide a summary of system enhancements included in NMLS Release 2016.4.1.

General Enhancements

SCR Number	Title	Description	Context
34558	CBC for MU2 Individuals: Inform regulators when they are NOT authorized to view a CBC result for an individual	If an individual has not authorized agency access or requested a new criminal background check (CBC) before the agency is added, a message will inform the regulator that in order to view the CBC, agency access must be added and a new CBC must be requested. The message will be displayed to regulator users with the View CHRI and/or View RAP role when attempting to view CBC information in the Individual Composite View.	State
37259	Surety Bonds: Increase prominence of converted bond indicator	Surety entities will be required to select "Converted" or "New" as the Bond Reporting Type when creating a bond in NMLS to reduce errors in bond issuance. System text will be updated to clarify that "Converted" should be selected as Bond Reporting Type when a bond is being created to replace a paper bond on file with the regulator. "New" should be selected if the bond is being issued for the first time.	
37254	Surety Bonds: Audit and alert process for profile name changes of a representative	The regulatory user group (RUG) will be notified when the name of a surety bond producer designated as a "Representative" on an active association changes so that the appropriate parties at the surety company can verify the Representative is still the same person authorized in the association.	State
37149	Surety Bonds: Declined bonds to allow company to remove producer authority	Licensees will be permitted to remove authority of a surety bond producer when bonds in a "Declined" status exist with the surety bond producer listed as Broker of Record (BOR).	State
37032	Surety Bonds: Clarification that branch bonds are not yet supported	Text will be added to the bond creation screen to clarify that bonds must be associated to the licensee's company license in NMLS. Company license bond amount increases to cover branch locations are also permitted. However, if separate bonds must be issued for branch locations, such bonds must be issued and maintained outside of NMLS at this time.	State

General Enhancements

SCR Number	Title	Description	Context
37000	Surety Bonds: Do not transfer declined bonds in with BOR change	When a Broker of Record (BOR) change is processed, bonds in a "Declined" status will have the outgoing BOR removed but the incoming BOR will not be added to the bond.	State
36917	Surety Bonds: Uniqueness validation on bond number	NMLS will enforce the uniqueness of the bond number for electronic surety bonds issued under a surety company and all of its underwriting companies. When creating a bond, or updating a bond number via rider, a bond number will not be available for use if it is the current bond number for a bond associated to the surety company or its underwriting companies in any of the following statuses: • Requires Rep Signature • Pending Principal Signature • Returned to Surety • Executed • Notice of Cancellation • Cancelled Bond numbers associated to "Declined" or "Deleted" bonds will be available for future use.	State
36779	Surety Bonds: NPN validation should not check deleted accounts	The National Producer Number (NPN) must be unique for each user identified as "Representative" for a surety bond producer. The uniqueness validation will no longer check against NPNs associated to deleted user accounts.	State

System Maintenance Updates

SCR Number	Category/Title	Description	Context
35088	Composite View: Federal disclosure questions display with no answer on MU2 Individual Snapshot	The System will be updated to display only disclosure questions applicable to filing submissions made by the individual. (Federal disclosure questions were displaying for certain state individuals.)	State
36648	Data Download: Regulator received QI deletion in XRQualifyingIndividual.xml for a pending QI	The System will be updated so users will be able to cancel pending ACNs instead of deleting them. Data download files will then include only deletions after changes have been processed. (The data download report only provides data once an ACN processes. However, since company users had been permitted to delete pending ACNs, the data download files for regulators had been providing information regarding pending ACNs when deleted.)	State
34878	Mortgage Call Report: RMLA-G included on only expanded MCR filings	The System will be updated to display the RMLA-General section for Expanded and Standard Mortgage Call Report (MCR) Activity Reports.	State
37338	Navigation: Dashboard Failed to Display Renewal Component for Individual User	The System will be updated to display the renewals dashboard when an individual record has more than one associated user account (one of which is deleted).	State
35078	Regulator Work List: Regulator user receiving a GSE when trying to archive a worklist item	The System will be updated to display a user-friendly error message instead of a General Service Error (GSE) message when a regulator encounters an issue when using their worklist.	State
37354	Surety Bonds: Cancelled undelivered bonds being delivered to regulator through a new license request on filing	The System will be updated to deliver only valid bonds in an "Executed" status.	State
37311	Surety Bonds: Rider field changes saved after field unselected	Surety bond rider field changes will be maintained based on information saved on the final update page, not the initial values provided and later adjusted by using the "Previous" button.	State

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37121	Surety Bonds: Header in the PDF from composite shows logged-in user not current entity	The PDF generated when viewing a bond in *Composite View* will be updated to display the licensee/principal name and NMLS ID instead of the entity name and ID of the logged in user.	State
37110	Surety Bonds: Return to Surety-Principal Name showing null for bonds/riders in Surety Transaction Reports	The principal name will display for bonds/riders in the Surety Company and Surety Bond Producer Transaction Reports even after a Return to Surety action is taken.	State
37105	Surety Bonds: SBP able to set the same NPN for all reps	The System will be updated to ensure the National Producer Number (NPN) is unique for each representative created by a single surety bond producer when multiple representatives are created at the same time.	State
36965	Surety Bonds: Rider events disappear from the metadata after signing by surety entity	The System will be updated to continue to display previous rider events when the surety entity user signs a rider and remains on the *Manage Bond* page.	State
36964	Surety Bonds: Regulator/company composite view display shows duplicate rider values	The System will be updated to display information relevant to the latest delivered instance of a bond/rider when a regulator or company user accesses *Composite View* for a bond with a backdated rider in a "Pending" status.	State
36834	Surety Bonds: GSE exception when viewing Declined Bonds after BOR	An error will no longer display when a surety company or surety bond producer views a bond in "Declined" status after a Broker of Record (BOR) change has been made for the bond.	State
36827	Surety Bonds: Individual signatory full name representation - use base record	The System will be updated to ensure the individual's full name (first, middle, last, suffix) will be displayed in the attestation language for a bond or rider when the bond is signed by a designated individual.	State
35282	Surety Bonds: SBP user can currently save contact info without first and last names	The *Contact Information* page for surety bond producers will be updated to require first and last name for primary and secondary contacts.	State